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INFORMATION DISCLOSURE
STATEMENT

Patent Application
Docket No. GJE-1080
Serial No. 10/580,769

March 14, 2007

David R. Saliwanchik

David R. Saliwanchik, Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1751
Applicant(s) : Christopher Iain Grainger
Serial No. : 10/580,769
Filed : May 26, 2006
For : Stabilisation of Viral Microparticles

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

Sir:

The above-identified patent application was filed in the U.S. Patent Office as a national application under 35 USC §371. A copy of the International Search Report and copies of the references cited therein have been made available in the national stage file.

However, for the examiner's convenience, the applicant is attaching a copy of the International Search Report as well as copies of the documents cited therein. Also attached is Form PTO/SB/08 listing the references.

In accordance with 37 CFR §1.56, the applicant hereby requests that the references cited in the International Search Report and listed on the attached form PTO/SB/08 be made of record and considered in the examination of the subject application. If any additional fee is required, or to credit any overpayment, please use Deposit Account No. 19-0065.

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,



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DRS/wrc

Attachments: Form PTO/SB/08 (3 pages)
International Search Report
Copies of references cited (17)



PTO/SB/08A (07-05)
Approved for use through 07/31/2006.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|---------------------------|
| Application Number | 10/580,769 |
| Filing Date | May 26, 2006 |
| First Named Inventor | Christopher Iain Grainger |
| Art Unit | 1751 |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | GJE-1080 |

Sheet 1 of 3

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number - Kind Code ² (if known) | | | |
| | U1 | US- | | | |
| | U2 | US- | | | |
| | U3 | US- | | | |
| | U4 | US- | | | |
| | U5 | US- | | | |
| | U6 | US- | | | |
| | U7 | US- | | | |
| | U8 | US- | | | |
| | U9 | US- | | | |
| | U10 | US- | | | |
| | U11 | US- | | | |
| | U12 | US- | | | |
| | U13 | US- | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | F1 | GB 2 395 900 A | 06-09-2004 | Elan Drug Delivery Limited | All | |
| | F2 | WO 96/03978 A1 | 02-15-1996 | Quadrant Holdings Cambridge Limited | All | |
| | F3 | WO 99/55362 A1 | 11-04-1999 | Genentech, Inc. | All | |
| | F4 | WO 00/00215 A1 | 01-06-2000 | Alliance Pharmaceutical Corp. | All | |
| | F5 | WO 02/32398 A2 | 04-25-2002 | Massachusetts Institute of Technology | All | |
| | F6 | WO 02/066005 A1 | 08-29-2002 | Cambridge Biostability Ltd. | All | |
| | F7 | | | | | |
| | F8 | | | | | |
| | F9 | | | | | |

Examiner
Signature

Date
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Attorney Docket Number | GJE-1080 |

Sheet 2 of 3

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|-----------------------|--|----------------|
| | R1 | AGUADO, M.T. <i>et al.</i> , "Injectable solid vaccines: a role in future immunization?", <i>WHO Drug Information</i> , 1998, pages 68-69, Vol. 12, No. 2. | |
| | R2 | BOEHM, G. <i>et al.</i> , "On Technological and Immunological Benefits of Multivalent Single-Injection Microsphere Vaccines," <i>Pharmaceutical Research</i> , September 2002, pages 1330-1336, Vol. 19, No. 9. | |
| | R3 | CAMBRIDGE BIOSTABILITY LTD, "Technology Overview: Single Shot Stable Liquid Vaccines and Snapjet Delivery Technology," October 2003, pages 1-30, Retrieved from the Internet: < http://holdsworth.3ev.com/fileadmin/downloads/overview.doc > | |
| | R4 | GRIBBON, E.M. <i>et al.</i> , "Stabilisation of Vaccines Using Trehalose (Q-T4) Technology," <i>Developments in Biological Standardization</i> , 1996, pages 193-199, Vol. 87. | |
| | R5 | LICALSI, C. <i>et al.</i> , "Dry powder inhalation as a potential delivery method for vaccines," <i>Vaccine</i> , January 1999, pages 1796-1803, Vol. 17, Nos. 13-14. | |
| | R6 | THE NEW TECHNOLOGIES WORKING GROUP OF THE GAVI RESEARCH AND DEVELOPMENT TASK FORCE, "Report Prepared for the Board of the Global Alliance for Vaccines and Immunization (GAVI)," May 30, 2003, pages 1-124, Retrieved from the Internet: < http://www.vaccinealliance.org/resources/NTWG_global.pdf > | |
| | R7 | ROSER, B., "Trehalose Drying: A Novel Replacement for Freeze-Drying," <i>Biopharm.</i> , September 1991, pages 47-53, Vol. 4, No. 8. | |
| | R8 | SMITH, D. <i>et al.</i> , "Evaluation of Novel Aerosol Formulations Designed for Mucosal Vaccination Against Influenza Virus," <i>Vaccine</i> , June 2003, pages 2805-2812, Vol. 21, Nos. 21-22. | |
| | R9 | TAMEZ-GUERRA, P. <i>et al.</i> , "Storage stability of <i>Anagrapha falcifera</i> nucleopolyhedrovirus in spray-dried formulations," <i>Journal of Invertebrate Pathology</i> , January 2002, pages 7-16, Vol. 79, No. 1. | |
| | R10 | WANG, L. <i>et al.</i> , "Basic immunological properties of spray-dried lipid microparticles developed for nasal and systemic administration of vaccines," <i>S.T.P. Pharma Sciences</i> , January 2002, pages 53-61, Vol. 12, No. 1. | |

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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| | | | First Named Inventor | Christopher Iain Grainger | |
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| | | | Examiner Name | Not yet assigned | |
| | | | Attorney Docket Number | GJE-1080 | |
| Sheet | 3 | of | 3 | | |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | R11 | WORRALL, E.E. <i>et al.</i> , "Xerovac: an ultra rapid method for the dehydration and preservation of live attenuated Rinderpest and Peste des Petits ruminants vaccines," <i>Vaccine</i> , 2001, pages 834-839, Vol. 19, Nos. 7-8. | |
| | R12 | | |
| | R13 | | |
| | R14 | | |
| | R15 | | |
| | R16 | | |
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| | R18 | | |
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